

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q71229

Yasuhide ODASHIMA, et al.

Appln. No.: 10/520,934

Group Art Unit: 1725

Confirmation No.: 4759

Examiner: not yet assigned

Filed: October 13, 2005

For:

CONTINUOUS CAST ALUMINUM ALLOY ROD AND PRODUCTION METHOD AND

APPARATUS THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following:

U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

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continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose herewith a copy of an official letter issued against the corresponding German Patent Application No. 103 92 959.2, citing such documents, together with an English-language translation of the official letter indicating the degree of relevance found by the German Patent Office. Applicants point out that the official letter also cites JP 2001-20047. Applicants cited this document in the Information Disclosure Statement filed on January 12, 2005. The official letter constitutes a further concise statement of relevance for this document.

In further compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants submit the following explanations:

JP-B-8-32356 is cited in the first full paragraph on page 3 of the specification. The published patent application of the cited Japanese reference, JP 64-015253, was previously cited in the Information Disclosure Statement filed on January 12, 2005. Applicants enclose an English-language Patent Abstracts of Japan as a further concise statement of relevance for these two documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

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INFORMATION STATEMENT BY APPLICANT

Sheet

Complete if Known

Application Number 10/520,934

Confirmation Number 4759

Filing Date October 13, 2005

First Named Inventor Yasuhide ODASHIMA

Art Unit 1725

Examiner Name not yet assigned

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| Cite No. 1 | Document Number | Sind Code | Office No. 2 | Office No. 3 | Office No. 4 | Office No. 4 | Office No. 5 | Office No. 6 | Office No. 6 | Office No. 6 | Office No. 7 | Office

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| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | JP | 8-32356 | B2 | 03-29-1996 | Showa Denko K.K. | With English Abstract of corresponding JP64-015253 |
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| NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | | | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.